

March 15, 2004

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FILE NO: 47004.000280



#### UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: 47004.000280

First Named Inventor: Lizhi MA, et al.

Title: SYSTEM AND METHOD OF INTELLIGENT CALL ROUTING FOR CROSS

SELL OFFER SELECTION BASED ON OPTIMIZATION PARAMETERS OR

ACCOUNT-LEVEL DATA

Customer No. 21967

TO: MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1.		Fee Transmittal Form (original and	duplica	te)			
2.		Small Entity Status Claimed:		Independent Inventor Small Business Concern Non-Profit Organization			
		Statement Enclosed					
		Statement Filed in Prior Application	n; Statu	s Still Proper and Desired			
3.	$\boxtimes$	Specification - Total Pages:	6	(Including Abstr	ract)		

### MAIL STOP PATENT APPLICATION

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		CLA	IMS AS FILE	ED		
	Claims	Basic Fee	Extra	R		
	Filed	Claims		Large Entity	Small Entity	Amount
Total Claims	27	20	7	\$ 18.00	\$ 9.00	\$ 126.00
Independent Claims	8	3	5	\$ 86.00	\$ 43.00	\$ 430.00
First Presentation of M	ultiple Depe	\$ 290.00	\$ 145.00	\$ 0.00		
BASIC FEE			\$ 770.00	\$ 385.00	\$ 770.00	
TOTAL FILING FEE						
Assignment Recordation Fee						
TOTAL AMOUNT						

4.	$\boxtimes$	Drawi	ings	-	Total Sheets:	15	(Fig(s).	1-15	)
5.	Oath o	or Decla	aration	-	Total Pages:	4			
	a.			y execute (unexecu	ed (original or co	рру)			
	b.		Copy from a prior application (for continuation/divisional with Box 18 completed)						
		i.			ΓΙΟΝ OF INVE statement attach tion.	• •	ventor(s) name	ed in prior	
6.	$\boxtimes$	Appli	cation I	Data Shee	et				
7.			CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)						
8.			eotide ssary)	and/or A	Amino Acid S	equence Subi	mission (if a	pplicable,	all
		a.		Compu	iter Readable Fo	orm (CRF)			
		b.		Specifi	cation Sequence	Listing on:			
			i.		CD-ROM or Cl	D-R (two copie	es); or		

### MAIL STOP PATENT APPLICATION

March 15, 2004

Page 3

		ii. Paper Copy (identical to computer copy)							
		c. Statements verifying identity of above copies							
9.		Assignee/Applicant:							
		Assignment and Assignment Recordation Form Submitted Herewith							
		Assignee of Record in Prior Application No:							
		recorded on at Reel, Frame							
10.		37 C.F.R. 3.73(b) Statement  Power of Attorney							
11.		English Translation Document (if applicable)							
12.		Information Disclosure Statement with PTO-1449 and References							
•		Copies of Information Disclosure Statement Citations							
13.		Preliminary Amendment							
14.	$\boxtimes$	Return Receipt Postcard							
15.	$\boxtimes$	Priority is Claimed as Follows: <u>U.S. Application No. 10/286,767 filed November 4, 2002 which is a</u>							
		Continuation of U.S. Application No. 09/349,960 filed July 9, 1999, now US Patent No. 6,553,113							
		Claim Benefit of the Following U.S. Provisional Application No(s).:							
		If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith							
16.	$\boxtimes$	Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.							
17.		Other:							

# MAIL STOP PATENT APPLICATION

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18.		Continuation Divisional Continuation-in-Part of Prior Application No.: 10/286,767 filed November 4, 2002						
		Prior application information: Examiner Benny Q. Tieu Group Art Unit 2642						
		Incorporation By Reference (useable if Box 5b is marked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.						
		Complete Application Based on Provisional Application No.:filed						
19.	Please address all correspondence to:							
	$\boxtimes$	Customer Number 21967						
		Steve T. Schreiner Intellectual Property Department Hunton & Williams LLP 1900 K Street, N.W. Suite 1200 Washington, DC 20006-1109						
20.		A new power of attorney or authorization of agent (PTO/SB/81) is as follows:						
		The power of attorney is to:						
		Please remove as power of attorney:						
		Please add as power of attorney:						

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21. A check in the amount of \$ 1,326.00 is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to Deposit Account No. 50-0206.

The U.S. Patent and Trademark Office is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to Deposit Account No. 50-0206.

Respectfully submitted,

By:

Jefflyy Leaning
Registration No. 51,184

**Enclosures** 

MAIL STOP PATENT APPLICATION

	Complete If Known								
FEE TR	Application	on No.		To Be Assigned					
	Filing Da	te		March 15, 2004					
MAN OTOD DAY	OATION	First Nan	ned Inve	ntor	Lizhi MA				
MAIL STOP PA	CATION	Examine	r Name		To Be As	To Be Assigned			
1			Group Ar	t Unit		To Be As	To Be Assigned		
Total Amount Of Paymer	nt (\$) <b>1,326.0</b> 0	)	Attorney	Docket N	47004.00	0280			
METHOD OF P	AYMENT (check	one)	FEE CALCULATION (continued)						
	sioner for Paten		3. <b>A</b> i	DDITIONA	L FEES		-		
	charge indicated for ments to <b>Deposit</b>		Fee Descri	ption			Fee P	aid	
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LLP.				harge - late r sheet	e provisio	nal filing fee or	\$		
			<b>—</b>	Month	Extension	of Time	\$		
			☐ Notic	e of Appea	al		\$		
			Filing	Brief in S	upport of	Appeal	\$		
2. Check Enclos		missioner for		est for Ora			\$ g \$		
variance betw	reby authorized to reen the amount	enclosed and	Utility Issue Fee (or Reissue) (including Publication Fee, if necessary)						
	Office charges . <b>50-0206</b> in the	to <b>Deposit</b> ne name of	☐ Desi	gn Issue F	\$				
Hunton & Will	iams LLP, 1900 K	Street, N.W.,	☐ Plant	t Issue Fee	\$				
Suite 1200, W	ashington, D.C. 20	0006-1109.	☐ Petiti	ion to Com	\$				
			☐ Petiti	ion to Revi	\$				
		<u>-</u> .	☐ Petiti	ion to Revi	\$				
FEE (	CALCULATION		Petitions Related to Provisional \$ Applications						
1. BASIC FILING FEE	Large Entity	Small Entity		mission of I ement	informatio	n Disclosure	\$		
		FEE PAID	☐ Filing	g Submissi	on After F	inal Rejection	\$		
Utility Filing Fe Design Filing I		770.00	Recording Each Patent Assignment Per \$ Property						
Plant Filing Fe	ee \$	Ü	☐ Filing Request for Reexamination \$						
	Reissue Filing Fee \$ Provisional Filing Fee \$				Other (specify)				
2. EXTRA CLAIMS FEES		56.00		-					
		CLAIMS AS A	AMENDED		•			,	
	Highest Numbe	r	Rate		<b>)</b>				
For	Number Present	Paid For	Extra	Large		Small Entity	Ar	nount	
TOTAL CLAIMS	27 8	20 3	7			x \$ 9.00	\$126.0		
INDEPENDENT CLAIMS			\$430.00						
MULTIPLE DEPENDENT O		\$ 290.00		\$ 145.00	\$ 0.00 \$556.00				
TOTAL EXTRA CLAIMS FEES						Complet	L		
SUBMITTED BY	leffrey Scott Leaning					<del></del>	e (if appl	<del>,                                      </del>	
					gistration No. 51,184				
Signature The Signature					Date	March 15, 2	UU4		

## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor

Lizhi MA

Title

SYSTEM AND METHOD OF INTELLIGENT CALL ROUTING FOR CROSS SELL OFFER SELECTION BASED ON OPTIMIZATION PARAMETERS OR ACCOUNT-LEVEL DATA

Attorney Docket No.

47004.000280

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 15, 2004

Date

Jeffrey Scott Leaning

Typed or printed name

51,184

Registration No.

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen (18) months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen (18) months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).